





### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
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#### NOTICE OF ALLOWANCE AND FEE(S) DUE

24033

7590

07/23/2003

KONRAD RAYNES VICTOR & MANN, LLP 315 SOUTH BEVERLY DRIVE SUITE 210 BEVERLY HILLS, CA 90212

EXAMINER		<u> </u>

HO, TU TU V

ART UNIT CLASS-SUBCLASS

2818

257-758000

DATE MAILED: 07/23/2003

APPLICATION NO.	FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/053,910	01/24/2002	Tomoyuki Furuhata	15.58/6459	3803

TITLE OF INVENTION: SEMICONDUCTOR DEVICES HAVING CONTACT PADS AND METHODS OF MANUFACTURING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	10/23/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.
 See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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	Application N .	Applicant(s)	
Notice of Allematility	10/053,910	FURUHATA, TOMOYU	KI
Notice of Allowability	Examiner	Art Unit	
	Tu-Tu Ho	2818	
	14-14 110	2010	
The MAILING DATE f this c mmunication apperall claims being allowable, PROSECUTION ON THE MERITS IS nerewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate communication is supplication is supplication.	n this application. If not included unication will be mailed in due cou	rse. THIS
1. This communication is responsive to <u>Applicant's Amendmental Section</u> 1. The allowed elements in the section of the section	ent filed 26 June 2003.		
2. $\square$ The allowed claim(s) is/are <u>26-52</u> .  3. $\square$ The drawings filed on <u>24 January 2002</u> are accepted by the	e Evaminer		
<ol> <li>Acknowledgment is made of a claim for foreign priority und</li> </ol>		r (f).	
a) ⊠ All b) ☐ Some* c) ☐ None of the:	20, 00 0.0.0. 3	. (1).	
1. ⊠ Certified copies of the priority documents have	e been received.		
2. Certified copies of the priority documents have	e been received in Application	on No	
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage application	from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
5. Acknowledgment is made of a claim for domestic priority u	= = = = = = = = = = = = = = = = = = =		
(a) The translation of the foreign language provisional a	• •		
6. Acknowledgment is made of a claim for domestic priority u	nder 35 U.S.C. 99 120 and/	or 121.	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of pelow. Failure to timely comply will result in ABANDONMENT of			
7.  A SUBSTITUTE OATH OR DECLARATION must be subminFORMAL PATENT APPLICATION (PTO-152) which gives reason.			ICE OF
B. CORRECTED DRAWINGS must be submitted.			
(a) including changes required by the Notice of Draftsper	son's Patent Drawing Revie	w ( PTO-948) attached	
1)  hereto or 2)  to Paper No			
(b) including changes required by the proposed drawing	correction filed, which	ch has been approved by the Exar	miner.
(c) including changes required by the attached Examiner	's Amendment / Comment o	r in the Office action of Paper No.	·
Identifying indicia such as the application number (see 37 CFR 1 f each sheet. The drawings should be filed as a separate paper	.84(c)) should be written on t with a transmittal letter addr	he drawings in the top margin (not t essed to the Official Draftsperson.	he back)
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT FOR T</li> </ol>			e the
Attachment(s)			
1☐ Notice of References Cited (PTO-892)	2  ☐ Notice of	of Informal Patent Application (PTC	D-152)
B Notice of Draftperson's Patent Drawing Review (PTO-948)		w Summary (PTO-413), Paper No.	·•
<ul> <li>Information Disclosure Statements (PTO-1449), Paper No</li> <li>Examiner's Comment Regarding Requirement for Deposit</li> </ul>		er's Amendment/Comment er's Statement of Reasons for Allo	wance
of Biological Material	9∰ Cxarriiri		
	(A)		
	David Nelms		
	Supervisory Patent Exam Technology Center 280		

Application/Control Number: 10/053,910

Art Unit: 2818

#### **DETAILED ACTION**

1. Applicant's Amendment filed 26 June 2003 has been reviewed and placed of record in the file.

## Allowable Subject Matter

2. Claims 26-52 (to be renumbered 1-27) are allowable over the prior art of record.

The following is an examiner's statement of reasons for allowance: The prior art of record fails to teach or render obvious a semiconductor device and method of manufacturing thereof having all exclusive limitations as recited in claims 26, 33, 39, 46, 49, and 51, having multiple wiring layers below a pad opening section and a contact pad structure; that either the contact pad structure having two electrically connected sub-layers and two insulation layers as claimed (an insulating layer positioned between end regions of the sub-layers) and that the multiple wiring layers are formed outside of a region defined by the pad opening section; or that the contact pad structure having a first sub-layer, a second sub-layer, an insulating layer, and a protective insulating layer, wherein the second sub-layer is in direct contact with an exposed region of the first sub-layer, a portion of the second sub-layer is formed above an upper surface of the outer portion of the insulating layer, and the protective insulating layer is formed on the second sublayer and also above an upper surface of the outer portion of the insulating layer; or that each layer of the multiple wiring layers including a plurality of plugs that are formed so that plugs of one level are offset and staggered in relation with plugs of another lever, and the multiple wiring layers and the plugs are formed outside a region of the pad opening as viewed in a plan view.

Application/Control Number: 10/053,910

Art Unit: 2818

Any comments considered necessary by applicant must be submitted no later than the

Page 3

payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

3. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Tu-Tu Ho whose telephone number is (703) 305-0086. The

examiner can normally be reached on 6:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, DAVID NELMS can be reached on (703) 308-4910. The fax phone numbers for the

organization where this application or proceeding is assigned are (703) 308-7722 for regular

communications and (703) 308-7722 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (703) 308-1782.

Tu-Tu Ho

July 15, 2003

Supervisory Patent Examiner

**Technology Center 2800** 

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents Alexandria, Virginia 22313-1450

(703)746-4000 **Fax** 

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 24033 7590

KONRAD RAYNES VICTOR & MANN, LLP 315 SOUTH BEVERLY DRIVE **SUITE 210 BEVERLY HILLS, CA 90212** 

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the

United States Postal Service with sufficient postage for first clienvelope addressed to the Box Issue Fee address above, or be transmitted to the USPTO, on the date indicated below.	
	(Depositor's name
	(Signature

(Date

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/053,910	01/24/2002	Tomoyuki Furuhata	15.58/6459	3803

TITLE OF INVENTION: SEMICONDUCTOR DEVICES HAVING CONTACT PADS AND METHODS OF MANUFACTURING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	10/23/2003
EXAMI	NER	ART UNIT	CLASS-SUBCLASS		
HO, TU	TU V	2818	257-758000		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Li Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Li "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.		2. For printing on the patent fithe names of up to 3 registered or agents OR, alternatively, (2 single firm (having as a men attorney or agent) and the na registered patent attorneys or ag is listed, no name will be printed	d patent attorneys 2) the name of a nber a registered umes of up to 2 gents. If no name		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or cate	gories (will not be printed on the patent)	⊔ individual	☐ corporation or other private group entity	⊔ government
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):	***		
☐ Issue Fee	☐ A check in the amour	nt of the fee(s) is en	closed.	
□ Publication Fee	☐ Payment by credit car	rd. Form PTO-2038	is attached.	
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpa Deposit Account Number(enclose an extra copy of this form).			
Commissioner for Patents is requested to apply the Issu	ue Fee and Publication Fee (if any) or to re	e-apply any previo	usly paid issue fee to the application identified	d above.
(Authorized Signature)	(Date)		· · · · · · · · · · · · · · · · · · ·	
NOTE; The Issue Fee and Publication Fee (if requother than the applicant; a registered attorney or a interest as shown by the records of the United States	gent; or the assignee or other party in			
This collection of information is required by 37 CF obtain or retain a benefit by the public which is to application. Confidentiality is governed by 35 U.S.C. estimated to take 12 minutes to complete, including completed application form to the USPTO. Time vesses. Any comments on the amount of time you suggestions for reducing this burden, should be sen Patent and Trademark Office, U.S. Department 22313-1450. DO NOT SEND FEES OR COMPLETED FOR COMPLETED IN COMPANY OF THE PROPERTY OF THE PRO	file (and by the USPTO to process) an 122 and 37 CFR 1.14. This collection is gathering, preparing, and submitting the vill vary depending upon the individual require to complete this form and/or to the Chief Information Officer, U.S. of Commerce, Alexandria, Virginia ETED FORMS TO THIS ADDRESS.			

TRANSMIT THIS FORM WITH FEE(S)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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## United States Patent and Trademark Office

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10/053,910	01/24/2002	Tomoyuki Furuhata	15.58/6459	3803
24033	7590 · 07/23/2003		EXAMIN	ER
KONRAD RAY	NES VICTOR & MA	HO, TU TU V		
315 SOUTH BEV SUITE 210	ERLY DRIVE		ART UNIT	PAPER NUMBER
BEVERLY HILL	S, CA 90212	_	2818	
		D	ATE MAILED: 07/23/2003	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandria, Virginia 22313-1450

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMA	
10/053,910	01/24/2002	Tomoyuki Furuhata	15.58/6459 3803	
24033	7590 07/23/2003		EXAMIN	ER
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BEVERLY HIL	,	2818		
UNITED STAT	ES .		DATE MAILED: 07/23/2003	

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.